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# Impact of annealing temperature on band-alignment of PLD grown Ga<sub>2</sub>O<sub>3</sub>/Si (100) heterointerface



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# ABSTRACT

Cost-effective integration with existing silicon CMOS electronics has been one of the primary motivations for most of the emerging non-silicon devices. In this context,  $\beta$ -gallium oxide (Ga<sub>2</sub>O<sub>3</sub>) films were deposited on Si (100) substrate using pulsed laser deposition (PLD) technique in this research. After deposition, samples were further annealed at 600 °C and 800 °C under vacuum. X-ray diffractometer (XRD) was employed to observe the crystallinity variation due to the annealing. The crystallinity of samples degrades with annealing at 600 °C and an incremental improvement in crystallinity was again exhibited at 800 °C due to the possible rearrangement of the Ga and O atoms to their optimal sites. Further, x-ray photoelectron spectroscopy (XPS) was used for identification of elements and chemical composition. XPS results were also analyzed to locate the position of Ga 2p and Si 2p core levels and calculate the valance band offset (VBO) at Ga<sub>2</sub>O<sub>3</sub>/Si interface. Reflected electron energy loss spectroscopy (REELS) and ultraviolet photoelectron spectroscopy (UPS) were utilized to calculate the bandgap and work function, respectively. Moreover, valance band maxima and Fermi level position of the as-deposited and annealed samples were calculated using UPS. The bandgaps for the as-deposited, annealed samples at 600 °C and 800 °C were estimated to be 4.72  $\pm$  0.05, 4.52  $\pm$  0.05, and 4.48  $\pm$  0.05 eV, respectively. Consequently, the work function of the sample increases with annealing due to increase in the oxygen vacancies and resulting bandgap narrowing. The band offsets (valance band, conduction band) were determined to be  $(3.35 \pm 0.05 \text{ eV}, 0.26 \pm 0.02 \text{ eV}), (3.55 \pm 0.05 \text{ eV}, 0.15 \pm 0.02 \text{ eV})$  and  $(3.54 \pm 0.05 \text{ eV}, 0.15 \pm 0.02 \text{ eV})$ 0.17  $\pm$  0.02 eV) for the as-deposited, annealed samples at 600 °C and 800 °C, respectively. This optimization of band alignment with annealing temperature shall be very useful for controlling the carrier transport at the interface for Ga<sub>2</sub>O<sub>3</sub>/Si based devices. Thus, a trade-off is to be performed between VBO and crystallinity of the Ga<sub>2</sub>O<sub>3</sub>/Si to operate the devices efficiently and reliably respectively.

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# 1. Introduction

 $\beta$ -Ga<sub>2</sub>O<sub>3</sub> is a wide bandgap semiconductor with a varying bandgap of 4.4–4.9 eV [1–3]. There are five different phases of Ga<sub>2</sub>O<sub>3</sub>, however, monoclinic ( $\beta$ ) polymorph is the most stable and widely used for device applications [4–6]. Owing to such an ultrawide bandgap,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> has very high breakdown electric field (8 MV/cm), thus it acts as a promising candidate for next-generation high power electronic devices [7,8]. This material can also be used for UV optoelectronic devices due to high-transparency in the visible range [2,9,10]. The thermal stability of the material is also excellent which leads to applications for high-

\* Corresponding author. E-mail address: bag.ankush@gmail.com (A. Bag). temperature oxygen gas sensors [11–13]. Additionally, Ga<sub>2</sub>O<sub>3</sub> heterojunctions with Al<sub>2</sub>O<sub>3</sub>, GaN, SiC, ZnO and Si substrates have been investigated for numerous applications such as photodetectors [14-21], light-emitting diodes (LEDs) [22,23], and power switching devices [24–26]. Heterojunction between Ga<sub>2</sub>O<sub>3</sub> and Si [27] is relatively more attractive because of the several inherent and wellknown properties of Si substrate, such as high stability, low cost, and abundance on the earth, and its wide applications in the integrated CMOS circuits. An additional advantage of Ga<sub>2</sub>O<sub>3</sub> integration with Si for high power devices is the efficient dissipation of heat due to the higher thermal conductivity of Si (150  $Wm^{-1}K^{-1}$ ) than the  $Ga_2O_3$  (8.8 ± 3.4 Wm<sup>-1</sup>K<sup>-1</sup>). It is known that the bandgap of any semiconductor is an inherent material property and annealing can decrease it to some extent [28,29]. The semiconductor work function which is an energy gap between the Fermi level to the vacuum level also depends on the mentioned



temperature. When we change the annealing temperature, there will be a shift in the Fermi level, and the consequent changes in the work function. As unintentionally doped Ga<sub>2</sub>O<sub>3</sub> is an n-type semiconductor [30], if we increase the annealing temperature, the work function will also increase. It is well known that the band offset is one of the most critical electronic parameters in deciding the carrier transport nature in the semiconductor heterojunction [27]. Band offset provides the energy barriers height experienced by the charge carriers to cross a junction. Chen et al. [27] have found the VBO of Ga<sub>2</sub>O<sub>3</sub>/Si (111) heterojunction to be 3.5 eV using XPS. However, Si (100) is the most preferred orientation for the actual integration of emerging electronics with existing CMOS technology. Though several studies have been conducted for the deposition of Ga<sub>2</sub>O<sub>3</sub> on Si (100), the band alignment and its effect on postannealing temperature on the Ga<sub>2</sub>O<sub>3</sub>/Si (100) have not been investigated yet. In this work, XPS is used to investigate the electronic interface structure and band alignment of Ga<sub>2</sub>O<sub>3</sub>/Si (100) heterojunctions. The band offset values are calculated for asdeposited and annealed at 600 °C and 800 °C samples. The Ga<sub>2</sub>O<sub>3</sub>/Si heterojunction is observed as a type-I heterojunction. Further, it has been seen that band alignment is varying with annealing temperature and will be helpful to improve the performance of the devices.

# 2. Experimental details

 $Ga_2O_3$  powder (purity 99.999%) was purchased from Sigma Aldrich Company (USA). The  $Ga_2O_3$  pellet was made from the powder and placed inside the furnace at a sintering temperature of 1200 °C for 24 h. P-type Si (100) wafer has been cleaned by Radio Corporation of America (RCA) process (SC-1 and SC-2) of wafer cleaning. Then, the Si wafer was cleaned with Hydrogen Fluoride (HF) acid to remove additional native oxide layers.

Ga<sub>2</sub>O<sub>3</sub> thin film was deposited on Si (100) substrate using a cluster tool-based pulsed laser deposition (PLD) from PREVAC, Poland. The base pressure of the deposition chamber was  $5 \times 10^{-8}$  Torr, and the substrate was heated at 635 °C temperature. The  $\beta$ - Ga<sub>2</sub>O<sub>3</sub> thin films were deposited under an O<sub>2</sub> atmosphere for 35 min. The processing pressure was  $1.3 \times 10^{-3}$  Torr, the O<sub>2</sub> flow rate was 8.1 sccm. The repetition rate and laser energy were fixed at 5 Hz and 200 mJ/cm<sup>2</sup>, respectively. The thickness of the thin film was 110 nm as confirmed by the ellipsometer instrument. To investigate the effect of annealing, the film deposited at a substrate temperature of 635 °C was cut into three pieces. Two samples were placed inside a tube furnace. Samples were further annealed at two different temperatures of 600 °C and 800 °C for 1 h under a vacuum of 5  $\times$  10<sup>-3</sup> mbar. We have strategically selected these two annealing temperatures in order to understand the annealing effect on the Ga<sub>2</sub>O<sub>3</sub> and its hetero-junction with p-Si (100). Thereby, one temperature has been kept above sample growth temperature (635 °C) and another one is below 635 °C. If we anneal the sample at temperatures far below than the growth temperature, there is no expected effect of annealing on the crystalline quality of the film.

Furthermore, if we anneal the sample beyond 800 °C, the crystalline quality of the film might decrease drastically. Therefore, we have chosen to anneal the samples around the growth temperature for a longer duration. Moreover, the range of  $600 \,^\circ\text{C}-800 \,^\circ\text{C}$  has been found to be the optimized temperature for annealing of the Ga<sub>2</sub>O<sub>3</sub> thin films in previously reported literature also [31,32]. Hence, we believe that these two temperatures are sufficient to study the effect of annealing on the samples in present context. Additionally, while most of the reports are showing an annealing effect on the crystalline quality, oxygen vacancies, and the roughness of the Ga<sub>2</sub>O<sub>3</sub> films [33,34]. We are showing the effect of annealing on band alignment parameters of n-Ga<sub>2</sub>O<sub>3</sub>/p-Si (100)

hetero-junction, which has been not yet reported.

The crystalline quality of the Ga<sub>2</sub>O<sub>3</sub> samples was examined by an X-ray diffractometer (Smart lab, Rigaku Japan; XRD) with a scan range of 20°–80°. Cu K<sub>α</sub> was used as the X-ray source with the wavelength of  $\lambda$ =0.154 nm. XPS survey scan was used to identify the elements present on the thin film and to determine the chemical state of the elements. Thermo scientific NEXSA XPS with Al K<sub>α</sub> X-ray source with the energy of 1486 eV was used. The angle between analyzer and sample surface was 90°, analysis size of 400 µm diameter, with source power of 72 W, pass energy for survey scans of 200 eV, and pass energy for high-resolution scans of 50 eV. The electron gun was used to perform charge compensation. Further, to nullify the effect of peak shift due to charge accumulation in the non-conducting sample, the adventitious carbon line in C 1s spectra at 284.8 eV was used.

Reflection electron energy loss spectroscopy (REELS) with a pass energy of 5 eV was used to obtain the bandgap of the  $Ga_2O_3$  thin films and Si substrate. Ultra-violet photoelectron spectroscopy (UPS) with a pass energy of 1 eV, a target current of 50 mA was used to calculate the work function of the materials. Here, He I e (21 eV) was used to turn on the UV source.

# 3. Results and discussion

To investigate the effect of annealing on the crystallinity of β-Ga<sub>2</sub>O<sub>3</sub> films deposited on Si (100) substrates, the XRD study was done on as-deposited and annealed at 600 °C and 800 °C samples. Fig. 1(a) shows the XRD diffraction patterns of the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> films. All three samples show the polycrystalline  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> with monoclinic structure.  $Ga_2O_3$  material shows polymorphism. Phase-  $\beta$  is the only stable polymorphs throughout the whole temperature range until its melting point (1900 °C). XRD pattern of as-deposited samples shows polycrystalline nature of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>, however the high-temperature annealing for a long time might degrade the crystal quality of the films as reported by Feng et al. [32]. This degradation has also been confirmed in our observations. It can be clearly observed that the crystalline quality is better for asdeposited  $Ga_2O_3$  sample than the annealed samples. The (-201) peak is dominant for as-deposited sample, while for the samples annealed at 600 °C and 800 °C the (-201) peak vanishes, leading the annealed samples towards poor crystal quality. Again, the intensity at (-401) plane degrades for sample annealed at 600 °C than as-deposited sample, while a little bit of improvement is observed for the sample annealed at 800 °C as compared to the sample annealed at 600 °C. In case of sample annealed at 600 °C, annealing temperature is lowered than that of the growth temperature, and the vacuum environment during annealing might have reduced the crystallinity in presence of more vacancy. However, a little improvement in crystallinity was again exhibited at 800 °C annealing due to the possible rearrangement of the Ga and O atoms at their optimal sites.

The other parameters full width at half maximum (FWHM) and crystallite size of the samples are also calculated from the XRD patterns listed in Table 1. The FWHM is observed from the (-401) peak of the XRD pattern, and the crystallite size is calculated using the Scherrer's equation. The FWHM value is increasing while the sample is being annealed as compared to as-deposited samples. However, FWHM decreases for sample annealed at 800 °C as compared to the sample annealed at 600 °C. The FWHM values are 0.5009°, 0.5054°, and 0.5032° for as-deposited Ga<sub>2</sub>O<sub>3</sub>, samples annealed at 600 °C, and 800 °C respectively. The crystal quality of the Ga<sub>2</sub>O<sub>3</sub> is reducing because FWHM values are increasing, and the crystallite size is decreasing for the annealed samples.

The XPS survey scan of as-grown  $Ga_2O_3$  thin film and defect-free silicon substrate has been shown in Fig. 2 (a). The  $Ga_2O_3$  thin film



Fig. 1. (a) XRD pattern of as-deposited, annealed at 600 °C and 800 °C thin films (b) Peak shift in the XRD pattern of annealed samples.

Table 1

Parameters observed from the XRD pattern of the samples.

Sample Name	FWHM(degree)	Crystallite Size (nm)
Ga <sub>2</sub> O <sub>3</sub> as-deposited	0.5009	16.47
Ga <sub>2</sub> O <sub>3</sub> annealed at 600 °C	0.5050	16.33
Ga <sub>2</sub> O <sub>3</sub> annealed at 800 °C	0.5032	16.40



Fig. 2. XPS survey spectra of  $Ga_2O_3$  as-grown thin film and Si (100) substrate (b) showing Si diffusion for annealed samples.

survey spectra show the characteristic peaks of Ga (2p, 3p, 3d), O 1s, and C 1s, whereas the spectra for Si shows Si 2p, O 1s, and C 1s peaks. In the XPS survey spectra of  $Ga_2O_3$  thin films, there is no peak of Si substrate. Thus, from this survey scans, it has been cleared that the Si substrate is not transferred to the thin film surface. The carbon peak (C 1s) has been observed in the survey spectra of both results from the contamination due to exposure in the atmosphere. The O 1s peak in Si substrate.

Si is not transferred from the substrate to the  $Ga_2O_3$  film in the case of the as-deposited sample. However, when the samples are annealed at different temperatures, there is diffusion of Si from the substrate as shown in Fig. 2(b). Henkel et al. [35] have observed that when the sample annealed at higher temperature Si diffused into Oxide from the Si substrate. Fleischer et al. [36] and Battiston et al. [37] also investigated that there is a diffusion of Al in the  $Ga_2O_3$  film from the  $Al_2O_3$  substrate while the sample annealed at higher temperatures. Liu et al. [38] reported that Si is diffused into the GaN

at high annealing temperatures.

The stacked XPS narrow scans of thin (110 nm) Ga<sub>2</sub>O<sub>3</sub> films on Si (100) substrate at different annealing temperatures for Ga 3d level, Ga 2p core level, and O 1s level are shown in Fig. 3(a), 3(b) and 3(c), respectively. There is a shift in binding energy (BE) with different annealing temperatures. There were no contaminations of metals in the thin films within the measuring limit of XPS, so that the bandgap will not be altered by contaminations, and will not affect the band offsets. From Fig. 3 (a), we detect that the BE of Ga 3d appears at 20.54 eV, and shifted by a BE of 0.17 eV with an increase in annealing temperature. Since the samples were annealed under the vacuum environment, there is a decrement of oxygen atoms, as evident from the compositional ratio of Ga and O. Ga and O composition ratio were 0.72, 0.85, and 0.86 for as-deposited, sample annealed at 600 °C, and 800 °C respectively measured using XPS. This scarcity of oxygen atoms leads to increament of the oxygen vacancies, thereby, the free carrier concentration is also increased. Thus, there is a shift in the Fermi level in the case of the samples annealed at higher temperatures. Fermi level movement exactly matches with variation of VBM (valance band maximum) values with annealing temperatures, are listed in Table 3. Therefore, there is shift in the binding energy because of the Fermi level movement. Kumar et al. [39] have observed that with the higher annealing temperature the binding energy peaks were shifted to the higher binding energy is found because of Fermi level movement, and the shift in the Fermi level is observed by VBM values which exactly matches with variation of VBM values. We also overserved that the shifting of BE for Ga 2p and O 1s spectra are the same as Ga 3d spectra at the different annealing temperatures.

Additionally, as the samples were annealed under the vacuum environment, there is a decrement of oxygen atoms evident from the compositional ratio of Ga and O<sub>2</sub>. The Ga and O composition ratio were 0.72, 0.85, and 0.86 for as-deposited, sample annealed at 600 °C, and 800 °C respectively as measured using XPS. This scarcity of oxygen atoms will increase the more oxygen vacancies in the crystal leading to more defects [1]. The peak shift (shown in Fig. 1(b)) in the XRD pattern of annealed films may be correlated to this possible compositional differences as a result of annealing [31,40]. There are different compositions of Ga and O for all three samples. Thus, there are shifting in the peaks of annealed samples.

The stoichiometric ratio between Ga and O is improving with



Fig. 3. (a) Stacked XPS narrow spectra of Ga 3d levels. (b) XPS narrow spectra of Ga 2p core levels. (c) XPS naerrow spectra of O 1s levels.

higher annealing temperature due to the desorption of interstitial oxygen atoms. The bandgap of the different samples has been determined using the REELS technique. The bandgap calculation for as-deposited Ga<sub>2</sub>O<sub>3</sub> thin film is shown in Fig. 4 (a). The values of the bandgap for as-grown and annealed samples at a different temperature along with Si substrate are listed in Table 2. The calculated value of bandgap for the Si substrate is  $1.12 \pm 0.01$  eV at room temperature. From Table 2, it can be seen that the bandgap decreases as annealing temperature rises.

UPS technique has been used to measure the work function of the different samples. The work function calculation for asdeposited Ga<sub>2</sub>O<sub>3</sub> thin film is shown in Fig. 4 (b). From Fig. 4(b), the cut-off BE of Ga<sub>2</sub>O<sub>3</sub> is 5.24 eV, Fermi level kinetic energy (KE) is 20.0 eV, and the total photon energy of the system is 21.22 eV. Using these energy values, the work function for the as-deposited Ga<sub>2</sub>O<sub>3</sub> sample has been found out to be  $5.556 \pm 0.1$  eV. The work function of all the samples is also listed in Table 2. Since samples were annealed under the vacuum environment, there is decrement of oxygen atoms. This scarcity of oxygen atoms increases the oxygen vacancies. It has been observed that the annealing will cause the diffusion of Si [36,37,41]. Si atoms from the substrate will diffuse into Ga<sub>2</sub>O<sub>3</sub> film during annealing of the sample and potentially fill those vacancies. With the higher annealing temperature, the oxygen vacancies will increase leading to more interstitial defects [42–44]. Discrete energy levels due to these defects alongwith

 Table 2

 Calculated values of bandgap and work function for different samples.

Sample	Bandgap (eV)	Work function (eV)
Si (100)	1.12 ± 0.1	4.72 ± 0.1
Ga <sub>2</sub> O <sub>3</sub> as deposited	$4.72 \pm 0.1$	5.55 ± 0.1
Ga <sub>2</sub> O <sub>3</sub> annealed at 600 °C	$4.52 \pm 0.1$	5.77 ± 0.1
$Ga_2O_3$ annealed at 800 $^\circ\text{C}$	$4.48\pm0.1$	$5.77 \pm 0.1$

filled Si atoms near the conduction band might lead to formation of a band with increament of number of defects. This phenomena will cause to downward the bottom of the conduction band energy. Thereby, when the annealing temperature will increase, conduction band of  $Ga_2O_3$  will also decrease which further leads to decreament of bandgap. Thus, diffused Si atoms in the  $Ga_2O_3$  film will enhance the electron affinity of the  $Ga_2O_3$  film. Therefore, the work function decreases with increase in annealing temperature due to the change in the electron affinity. Furthermore, the bandgap narrowing due to the annealing leads to increment of workfunction. This phenomena has already been reported by Kobayashi et al. [45].

Valance band maximum (VBM) of samples can be determined using the UPS spectrum. Fig. 5(a) shows the VBM calculation of the as-deposited Ga<sub>2</sub>O<sub>3</sub> sample. The BE values at which the VBM occurs for all the samples are listed in Table 3. The core-level XPS spectrum



Fig. 4. Calculation of (a) band gap and (b) work function for Ga2O3 as-deposited sample using REELS and UPS respectively.



Fig. 5. (a). VBM calculation for as-deposited Ga<sub>2</sub>O<sub>3</sub> sample. (b) High resolution XPS spectra for Ga<sub>2</sub>O<sub>3</sub> to Si core-core levels.

Table 3The binding energy of core levels and VBM.

Sample	State	Binding Energy (eV)
Ga <sub>2</sub> O <sub>3</sub> as deposited	$E_{Ga_2O_3}^{Ga_2O_3}$	1118.20 ± 0.05
Ga <sub>2</sub> O <sub>3</sub> as deposited	$E_V^{Ga_2O_3}$	$3.87 \pm 0.1$
Ga <sub>2</sub> O <sub>3</sub> annealed at 600 °C	$E_{Ga_2O_3}^{Ga_2O_3}$	$1118.20 \pm 0.05$
Ga <sub>2</sub> O <sub>3</sub> annealed at 600 °C	$E_V^{Ga_2O_3}$	$4.043 \pm 0.1$
$Ga_2O_3$ annealed at 800 $^\circ\text{C}$	$E_{Ga_2O_3}^{Ga_2O_3}$	$1118.20 \pm 0.05$
Ga <sub>2</sub> O <sub>3</sub> annealed at 800 °C	$E_V^{Ga_2O_3}$	$4.032 \pm 0.1$
Si (100)	$E_{Si=2p}^{S_i}$	99.32 ± 0.2
Si (100)	$E_V^{S_i}$	$0.495 \pm 0.1$
	•	

of  $Ga_2O_3$  and Si substrate has been used to determine the band alignment of  $Ga_2O_3$  and Si heterojunction. Narrow scan XPS spectra have been used to determine the core levels of the samples. Fig. 5(b) shows the BE of the Ga 2p and Si 2p core levels, and core to

core level BE the difference. The core level BE for the as-deposited

 $Ga_2O_3$  thin film, and Si substrate are to be 1118.20  $\pm$  0.05 eV and

 $99.32 \pm 0.2$  eV, respectively. VBM and core level XPS spectra of the

thin films and the substrate were used to calculate the valence band

The VBO ( $\Delta E_V$ ) of the Ga<sub>2</sub>O<sub>3</sub>/Si (100) has been calculated using

offset (VBO) and conduction band offset (CBO).

Kraut's method [27,46,47] as follow:

$$\Delta E_{V} = \left(E_{V}^{Si} - E_{Si-2p}^{Si}\right) - \left(E_{V}^{Ga_{2}O_{3}} - E_{Ga_{2}D_{3/2}}^{Ga_{2}O_{3}}\right) + \Delta E_{CL}$$
(1)

$$\Delta E_{CL} = \left( E_{Si-2p}^{Si} - E_{Ga-2p_{3/2}}^{Ga_2O_3} \right)$$
(2)

where  $E_V^{Si}$  is the BE of VBM for the substrate,  $E_V^{Ga_2O_3}$  is the BE of VBM for Ga<sub>2</sub>O<sub>3</sub> thin films, and  $\Delta E_{CL}$  is core to core level BE energy difference between Si and Ga<sub>2</sub>O<sub>3</sub> samples.

Now, the conduction band offset (CBO) can be calculated as

$$\Delta E_C = E_g^{Ga_2O_3} - \left(\Delta E_V + E_g^{Si}\right) \tag{3}$$

where  $\Delta E_C$  is the conduction band offset,  $E_g^{Ga_2O_3}$  is the bandgap of  $Ga_2O_3$  thin films, and  $E_g^{Si}$  is bandgap of Si substrate at room temperature. The measured values of the bandgap for all samples are listed in Table 2.

The general schematic band alignment diagram for  $Ga_2O_3/Si$  heterojunction is shown in Fig. 6(a). When we grew  $Ga_2O_3$  on the p-type Si, the n-type  $Ga_2O_3$  region, has higher electron concentration as majority carriers, and Si (p-type) has low electron concentration as minority carriers. This concentration gradient will enable the electrons to diffuse into the Si side, whereas the holes will diffuse into the  $Ga_2O_3$  side. Thus, there will be depletion of electrons in



Fig. 6. (a) Schematic diagram of band alignment for Ga<sub>2</sub>O<sub>3</sub>/Si heterojunction. (b) Band offsets for all the Ga<sub>2</sub>O<sub>3</sub> samples deposited on Si (100).

#### Table 4

Calculated parameters for band alignment of Ga<sub>2</sub>O<sub>3</sub>/Si heterojunction.

Parameters	As-deposited Ga <sub>2</sub> O <sub>3</sub>	Ga <sub>2</sub> O <sub>3</sub> annealed at 600 °C	$Ga_2O_3$ annealed at 800 $^\circ\text{C}$
ΔE <sub>V</sub>	3.35 ± 0.05	3.55 ± 0.05	$3.54 \pm 0.05$
$\Delta E_{C}$	$0.26 \pm 0.02$	$0.15 \pm 0.02$	$0.17 \pm 0.02$
$(E_V - E_{Si-2p})_{Si}$	98.83 ± 0.1	$98.83 \pm 0.1$	98.83 ± 0.1
$(E_V - E_{Ga_2p})_{Ga_2O_3}$	1114.33 ± 0.1	$1114.16 \pm 0.1$	1114.15 ± 0.1
$\Delta E_{CL}$	$1018.88\pm0.1$	$1018.88 \pm 0.1$	$1018.88 \pm 0.1$

 $Ga_2O_3$  interface and will have depletion of holes in the Si interface [48]. From the Fermi level location, it can be said that the electron will be transferred from  $Ga_2O_3$  to Si, and holes will tend to transfer from p-Si to  $Ga_2O_3$  region. Furthermore, VBO of heterojunction is the barrier for the injection of holes, which will affect the efficiency of the  $Ga_2O_3/Si$  heterojunctions. Therefore, if the VBO value increases, the barrier for holes injection from Si to  $Ga_2O_3$  will also increase.

Considering bandgap of as-deposited Ga<sub>2</sub>O<sub>3</sub> thin film and Si to be 4.72  $\pm$  0.05 eV and 1.12  $\pm$  0.01 eV, respectively. The values of  $\Delta E_V$ and  $\Delta E_C$  have been calculated are to be 3.35  $\pm$  0.05 eV and  $0.26 \pm 0.02$  eV respectively. Thus, the conduction band level of  $Ga_2O_3$  is above than that of Si, and the Valance band level of  $Ga_2O_3$ is below the Si. Similar observations have been also noticed by Chen et al. [27] where VBO and CBO calculated for Ga<sub>2</sub>O<sub>3</sub>/Si (111) heterostructure are to be 3.5 and 0.2 eV respectively. We have also calculated the band offset values for Ga2O3 samples annealed at 600 °C and 800 °C that are listed in Table 4. VBO values of Ga<sub>2</sub>O<sub>3</sub> thin film annealed at 600  $^\circ C$  and 800  $^\circ C$  are larger than asdeposited Ga<sub>2</sub>O<sub>3</sub> samples. VBO is increased with higher annealing temperature because of possible diffusion of Si atoms from the substrate to the Ga<sub>2</sub>O<sub>3</sub> film. The increase in the VBO can also be described by the development of a Ga silicate in the interfacial layer during the high annealing temperature [49]. It means if samples are annealed at a higher temperature, the efficiency of the devices will increase. However, if we compare both the annealed samples then the Ga<sub>2</sub>O<sub>3</sub> sample annealed at 600 °C is better than annealed at 800 °C in respect of device efficiency because VBO value for the sample annealed at 600 °C is larger than sample annealed at 800 °C. There is a very minimal difference in the valance band offset (VBO) values of the sample annealed at 600 °C and 800 °C. This small difference in the VBO is due to the shift in the binding energy result is changes in the valance band maximum (VBM). From Table 3, the value of VBM for the sample annealed at 600 °C and 800 °C are  $3.96 \pm 0.1$  and  $4.032 \pm 0.1$  respectively. The core to core level binding energy for both the annealed samples is equal. Since VBM value of the sample annealed at 600 °C is smaller than the sample annealed at 800 °C, thus from the equation (2) VBO value will be higher for the sample annealed at 600 °C. We can conclude that among the three samples, the sample annealed at 600 °C could be best candidate for device purpose in term of efficiency. The comparison of band offsets for all the samples are shown in Fig. 6. (b) The parameters we obtained from present XPS study could be very essential to analyze the charge transfer mechanism of Ga<sub>2</sub>O<sub>3</sub> based devices further.

#### 4. Conclusion

In summary,  $Ga_2O_3$  has been deposited on Si (100) substrate using PLD. The samples have been annealed at 600 °C and 800 °C under the vacuum environment. XRD data shows the polycrystalline nature of the thin films. The bandgap of  $Ga_2O_3$  samples has been calculated using REELS technique. It shows a decrement with the increase in annealing temperature, whereas the work function is increasing with the increment in annealing temperature as determined using the UPS technique. In comparison with the annealed samples, the VBO of  $Ga_2O_3$  thin film annealed at 600 °C is the largest due to possible interdiffusion of Si into  $Ga_2O_3$ . It is further concluded that the crystalline quality is degrading for both the higher and lower annealing temperature than the deposition temperature. Thereby, further annealing of the samples under vacuum environment can be avoided to preserve better crystallinity. However, on the contrary, the VBO is increasing with annealing temperatures. Therefore, annealing of the sample should improve the performance of the device applications involving band-offset related carrier transport assistance. Thus, a tradeoff between crystallinity and VBO needs to be maintained while annealing the  $Ga_2O_3/Si$  heterostructure for efficient and reliable device operations.

### **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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